

In re Application of: Katsumi Ono
 Serial No.: 09/451,979
 Filed: November 30, 1999
 For: FERROELECTRIC MEMORY AND METHOD FOR MANUFACTURING SAME

ASSISTANT COMMISSIONER FOR PATENTS
 Washington, DC 20231

Sir:

FEB 09 2000

Transmitted herewith is a Preliminary Amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	14	MINUS	20	= 0
INDEP	2	MINUS	3	=

SMALL ENTITY	
RATE	ADDITIONAL
x 9=	\$
x 39=	\$
x 130=	\$
TOTAL	\$

OTHER THAN A SMALL ENTITY	
RATE	ADDITIONAL
x 18=	\$0
x 78=	\$0
x 260=	\$0
TOTAL	\$0

☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS

- ☐ Please charge my Deposit Account No. 08-2461 in the amount of \$_____. A duplicate copy of this sheet is attached.
- ☒ A check in the amount of \$ 890.00 is attached. This check covers the fees for: 1) Application filing fee (\$760.00) and surcharge fee for filing a late Declaration (\$130.00).
- ☒ The Commissioner is hereby authorized to charge any fees or additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2461. A duplicate copy of this sheet is attached.
- ☒ Any filing fees under 37 C.F.R. 1.16 for the presentation of extra claims.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

Ronald T. Daclon

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 1000 Connecticut Avenue, N.W.
 Washington, D.C. 20036

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:
 Assistant Commissioner for Patents, Washington, D.C.

February 4, 2000
 20231 on _____

Dated: _____

Susan L. Toledano



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Katsumi Sameshima
Serial No.: 09/451,979
Filed: November 30, 1999
For: FERROELECTRIC MEMORY
AND METHOD FOR
MANUFACTURING SAME

Examiner: Unassigned
Group Art Unit: 1753
Docket: 362-39
Dated: February 4, 2000

Assistant Commissioner for Patents
Washington, DC 20231

PRELIMINARY AMENDMENT

Sir:

Prior to the examination of this application, please amend the application as follows:

IN THE CLAIMS:

Please amend the claims as follows:

- Claim 3. line 1 thereof, delete "or 2".
- Claim 4. line 1 thereof, change "any of claims 1 to 3" to --claim 1--.
- Claim 5. line 1 thereof, change "any of claims 1 to 4" to --claim 1--.
- Claim 8. line 1 thereof, delete "or 7".
- Claim 9. line 1 thereof, delete "or 7".
- Claim 12. line 1 thereof, change "any of claims 6 to 11" to --claim 6--.
- Claim 13. line 1 thereof, change "any of claims 6 to 12" to --claim 6--.
- Claim 14. line 1 thereof, change "any of claims 6 to 12" to --claim 6--.

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20231 on February 4, 2000

Dated: 2/4/00